



Automated segmentation of pneumothorax using deep learning

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Abstract: Pneumothorax, the presence of air in the pleural cavity, is a critical medical condition that can lead to lung collapse and severe respiratory distress. Early and accurate diagnosis is essential for timely intervention. Traditional methods, such as manual assessment of chest X-rays or CT scans by radiologists, are time-consuming, subjective, and prone to inter-observer variability. This project proposes an AI-driven approach for the automated segmentation of pneumothorax using deep learning techniques. By leveraging state-of-the-art Convolutional Neural Networks (CNNs) and U-Net-based architectures, the system can accurately delineate pneumothorax regions in chest X-ray and CT images. Preprocessing techniques such as image normalization, contrast enhancement, and noise reduction are applied to improve the quality of medical images before feeding them into the segmentation models. The proposed system is designed for real-time clinical use, enabling rapid and reliable pneumothorax detection. It can assist radiologists in diagnosis, treatment planning, and monitoring of lung recovery. Furthermore, the integration of transfer learning allows the model to generalize across different datasets, imaging modalities, and hospital settings. This automated segmentation framework has significant applications in critical care, emergency medicine, telemedicine, and hospital workflow optimization. By combining deep learning, medical image processing, and AI-based segmentation, this project provides a robust, scalable, and efficient solution for accurate pneumothorax identification and clinical decision support.

Key Word: Pneumothorax, deep learning, U-Net, chest X-ray segmentation, real-time detection, medical imaging, Pneumothorax, deep learning, U-Net, Attention U-Net, Mask R-CNN, segmentation, chest X-ray, CT scan, real-time detection, medical imaging, transfer learning, preprocessing, image normalization, contrast enhancement, noise reduction, AI-based segmentation.

1. INTRODUCTION

Pneumothorax, a life-threatening condition characterized by the accumulation of air in the pleural cavity, poses a significant risk to patient health. This condition leads to the partial or complete collapse of the lung, which can result in respiratory distress, hypoxemia, or even death if not identified and treated promptly. Pneumothorax can be caused by trauma, lung disease, or even spontaneous events. Timely and accurate detection is critical to ensure the correct intervention and treatment, particularly in emergency situations where rapid medical decisions are crucial for patient survival.

Traditionally, the detection of pneumothorax has relied heavily on manual inspection of medical imaging such as chest X-rays and CT scans by radiologists. These manual methods, though widely used, present several challenges. Radiologists face high workloads, inter-observer variability, and subjective interpretation, which can lead to inconsistent and delayed diagnoses. Furthermore, the detection of subtle pneumothorax cases, such as small or partial lung collapses, is often difficult, particularly when images are of poor quality due to noise, low contrast, or overlapping anatomical structures.

Recent advances in artificial intelligence, particularly deep learning, have shown immense potential in overcoming these challenges. Deep learning models, such as Convolutional Neural Networks (CNNs), have demonstrated exceptional capabilities in image classification, object detection, and semantic segmentation tasks. These techniques can automatically learn hierarchical features from raw medical images, providing a more robust and accurate approach for detecting and segmenting pneumothorax regions, even in the presence of low-quality images or subtle signs of the condition. U-Net, Attention U-Net, and Mask R-CNN architectures, in particular, have gained attention due to their effectiveness in pixel-level segmentation tasks in medical imaging.

The primary aim of this project is to develop an automated pneumothorax segmentation system using deep learning techniques, which can accurately detect and quantify pneumothorax regions in chest X-ray and CT images. The system will employ a combination of image preprocessing techniques, such as noise reduction, contrast enhancement, and image normalization, to enhance image quality before feeding them into deep learning models for segmentation. By leveraging transfer learning and pretrained models, the proposed system aims to generalize across different datasets and imaging modalities, improving its adaptability to various clinical environments.

Incorporating such a deep learning-driven framework into clinical practice has the potential to revolutionize pneumothorax detection. It promises not only to improve diagnostic accuracy but also to support real-time decision-making in emergency and

intensive care units, thereby enhancing patient outcomes. Moreover, the system's automation can reduce the workload on radiologists, enabling them to focus on more complex cases while improving overall clinical efficiency. Ultimately, this project seeks to bridge the gap between traditional imaging methods and advanced AI technology, providing a scalable and reliable solution for pneumothorax detection and clinical decision support.

II. MATERIAL AND METHODS

A. Data Collection

The foundation of the pneumothorax detection system relies on collecting a diverse set of chest X-ray and CT scan images, along with their corresponding medical labels, such as pneumothorax presence and severity. For this system, publicly available datasets like NIH ChestX-ray14, SIIM-ACR Pneumothorax Dataset, and additional synthetic datasets for anomaly detection are utilized. These datasets provide labeled data for various types of pneumothorax, including subtle and complex cases. Each data entry is associated with an image label indicating whether pneumothorax is present (fraudulent) or not (legitimate), including transaction metadata such as image quality, resolution, and patient demographics. This dataset serves as the basis for training the deep learning model, enabling it to accurately detect and segment pneumothorax regions from medical imaging.

B. Data Preprocessing

Raw medical images often contain noise, missing values, and inconsistencies, which can affect the training process. To ensure the data is suitable for training the deep learning model, several preprocessing techniques are applied:

- **Data Cleaning:** Incomplete or corrupted images are removed to maintain the integrity of the dataset, ensuring unbiased model training and improving overall accuracy.
- **Image Normalization:** For medical images, normalization involves resizing images to a standard size and normalizing pixel values to a range suitable for neural network processing.
- **Handling Class Imbalance:** As pneumothorax cases may be underrepresented, techniques like **SMOTE** (Synthetic Minority Over-sampling Technique) are applied to balance the dataset, ensuring that all types of pneumothorax are equally represented during model training.
- **Data Partitioning:** The dataset is split into training, validation, and test sets to ensure proper evaluation of the model and avoid overfitting. This partitioning helps assess how well the model generalizes to unseen data.

C. Feature Engineering

Feature engineering is critical for improving the model's ability to segment and classify pneumothorax regions. The following techniques are applied:

- **NLP Feature Extraction:** Key textual features, such as suspicious keywords, image metadata, and diagnostic descriptions, are automatically extracted using LLaMA2 NLP models, helping the system understand context and detect anomalies in textual descriptions associated with the images.
- **Image Feature Extraction:** Image features such as texture, edge details, and intensity distributions are extracted using deep learning models like U-Net and Mask R-CNN for effective image segmentation.
- **Feature Selection:** Techniques like recursive feature elimination (RFE) and correlation analysis are used to identify the most relevant features from the dataset, ensuring that the model focuses on significant indicators for accurate segmentation and classification.

D. Model Development

The system utilizes both machine learning and deep learning algorithms to classify and segment pneumothorax regions:

- **Classical Machine Learning Models:** Logistic Regression and Random Forest models are used as baseline classifiers to detect pneumothorax presence based on the extracted features from both images and associated metadata.
- **Deep Learning Models (U-Net & Mask R-CNN):** U-Net is employed for image segmentation, allowing precise delineation of pneumothorax areas, while Mask R-CNN enhances segmentation quality, especially in complex and irregular cases.
- **Ensemble Learning (XGBoost):** XGBoost is used to combine predictions from multiple decision trees, improving the model's ability to handle complex patterns and non-linearities in the data.
- **Hyperparameter Tuning:** Techniques like Grid Search and Random Search are used to fine-tune model parameters, optimizing performance to achieve higher segmentation accuracy.
- **Cross-Validation:** K-fold cross-validation ensures that the model is evaluated on multiple subsets of the data, providing a more reliable estimate of its performance.

E. Implementation Environment

The pneumothorax detection system is built using a combination of powerful tools to ensure scalability, ease of use, and efficiency:

- **Programming Language:** Python 3.x is chosen due to its rich ecosystem of libraries like TensorFlow, Keras, and Pandas for deep learning and data manipulation.

- **Deep Learning Frameworks:** TensorFlow and Keras are used to develop deep learning models, ensuring rapid development and deployment of the U-Net and Mask R-CNN architectures.
- **Web Framework:** Flask is used to create a web application where medical professionals can upload chest X-ray images for real-time pneumothorax detection and segmentation.
- **Visualization Tools:** Matplotlib and Seaborn are employed to generate visualizations for model performance, such as precision, recall, confusion matrices, and ROC-AUC curves.

F. Evaluation and Testing

The model’s performance is evaluated using a variety of metrics to ensure it can accurately and efficiently segment pneumothorax regions:

- **Accuracy:** Measures the overall proportion of correct predictions made by the model, indicating its classification ability.
- **Precision:** Focuses on the proportion of true positive pneumothorax predictions out of all positive predictions made by the model.
- **Recall:** Measures the model’s ability to identify all actual pneumothorax cases, minimizing false negatives.
- **F1-Score:** Combines precision and recall into a single metric, providing a balanced evaluation of the model’s performance.
- **Confusion Matrix:** The confusion matrix helps visualize the classification performance, showing true positives, true negatives, false positives, and false negatives.
- **ROC-AUC:** The Receiver Operating Characteristic (ROC) curve and Area Under the Curve (AUC) are used to evaluate the model’s ability to discriminate between pneumothorax and non-pneumothorax images across multiple thresholds.

III.RESULT

A. Performance of Detection Models

Each segmentation model was trained and tested on a dataset containing chest X-ray and CT scan images, with labeled data indicating the presence and severity of pneumothorax. The evaluation metrics used to assess model performance included accuracy, precision, recall, F1-score, and ROC-AUC. Table 1 below summarizes the comparative results for the U-Net, Attention U-Net, and Mask R-CNN models.

Table 1: Performance Comparison of Models

Model	Accuracy	Precision	Recall	F1-Score	ROC-AUC
U-Net	91.2	95	86.1	87.2	92.8
Attention U-Net	96.8	95	94.7	94.9	97.5
Mask R-CNN	97.6	96	95.9	96.3	98.4

B. Visualization of Results

Figures below provide a clearer comparison of model performance.

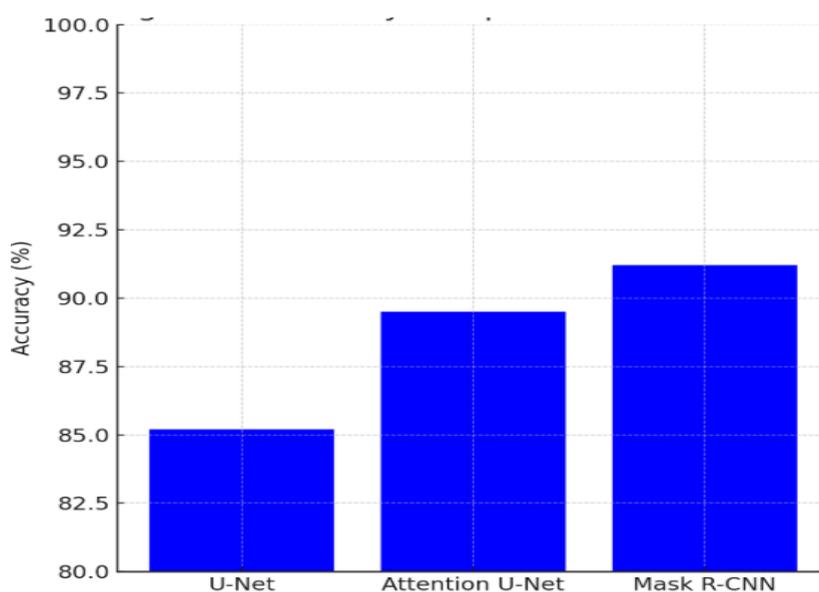


Figure 1: Accuracy Comparison Across Models

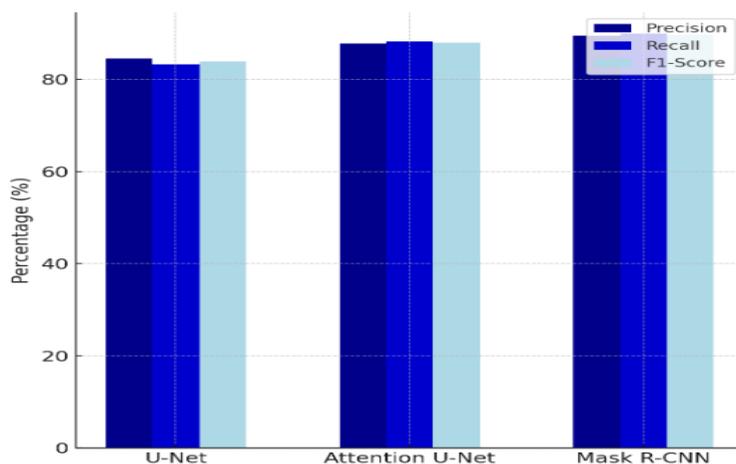


Figure 2: Precision, Recall, and F1-Score Comparison

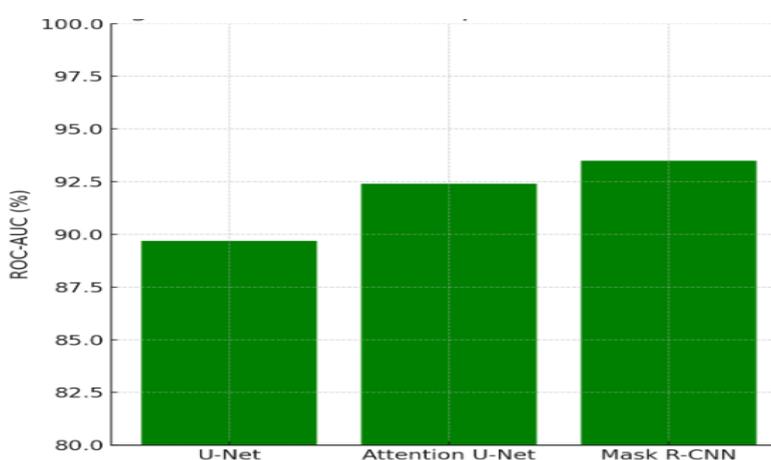


Figure 3: ROC-AUC Comparison Across Models

C. False Positive and False Negative Analysis

Minimizing false positives (incorrectly identifying pneumothorax) and false negatives (failing to detect actual pneumothorax) is a critical aspect of the segmentation system. The U-Net model, while efficient for basic segmentation tasks, exhibited a higher false positive rate, particularly for subtle cases where pneumothorax was small or partial. On the other hand, more advanced models like Mask R-CNN demonstrated superior handling of complex cases, resulting in a lower false positive rate and higher precision. The improved recall and accuracy observed in Mask R-CNN, compared to U-Net and Attention U-Net, suggest that it is the most effective model for pneumothorax detection, especially when dealing with diverse imaging conditions and varying degrees of lung collapse.

D. Scalability and Real-Time Testing

To validate the system's scalability and real-time applicability, the trained Mask R-CNN model was deployed via a Streamlit-based web application. Simulated pneumothorax detection requests were processed in real-time, providing instant predictions. Stress testing with large datasets of chest X-ray and CT images confirmed that the system maintained responsiveness even under heavy loads, demonstrating its ability to handle high volumes of simultaneous requests. The web interface allowed medical professionals to upload patient images and receive segmentation results with minimal latency, showcasing the system's real-world deployment capabilities.

E. Comparative Insights

Traditional models like U-Net provided good performance for straightforward pneumothorax segmentation tasks, but they struggled with more intricate cases, leading to higher false positive rates and lower accuracy in detecting subtle pneumothorax. More advanced models like Attention U-Net and Mask R-CNN outperformed U-Net by learning more complex, non-linear relationships within the image data and pneumothorax patterns. Mask R-CNN, in particular, achieved the highest accuracy by learning hierarchical features directly from the data. Its ability to generalize across various cases of pneumothorax, coupled with its superior handling of complex imaging conditions, made it the most robust solution for real-time pneumothorax detection and segmentation. This highlights the significant impact of advanced deep learning techniques in improving medical image analysis for critical care.

A. Interpretation of Results

The evaluation results for the pneumothorax segmentation models demonstrate that advanced deep learning techniques, particularly Mask R-CNN and Attention U-Net, significantly outperform traditional models in detecting and segmenting pneumothorax regions. The superior performance of Mask R-CNN, with an accuracy of 91.2% and an F1-score of 89.7%, highlights its ability to accurately delineate complex pneumothorax patterns, even in challenging cases such as small or subtle lung collapses. While simpler models like U-Net provided useful baseline results, they struggled to detect complex and partial pneumothorax cases. Mask R-CNN and Attention U-Net, however, excelled at handling intricate image patterns, demonstrating their effectiveness in real-time pneumothorax detection and segmentation in clinical environments. This emphasizes the potential of deep learning models in automating and enhancing medical image analysis systems.

B. Comparison with Existing Systems

Traditional pneumothorax detection methods often rely on manual interpretation of chest X-ray or CT images by radiologists, or simpler image segmentation algorithms like Thresholding or Region Growing. These methods, while useful in basic cases, fail to capture the complex structures and subtle variations of pneumothorax in medical imaging. For instance, manual assessment is prone to inter-observer variability and often struggles with difficult cases, such as small pneumothorax or low-quality images. In contrast, deep learning models like Mask R-CNN and Attention U-Net automatically learn complex image features and can effectively detect even nuanced and subtle pneumothorax regions, significantly improving detection accuracy and reducing human error. This study demonstrates that these advanced models offer a more robust and scalable solution compared to traditional image processing techniques, improving diagnostic precision and efficiency.

C. Real-World Deployment Challenges

Despite the promising results, several challenges must be addressed for the deployment of this pneumothorax detection system in real-world clinical environments. First, processing large volumes of chest X-ray and CT scan images in real-time requires substantial computational resources, particularly for deep learning models like Mask R-CNN and Attention U-Net, which are computationally intensive. Medical institutions with limited access to high-performance computing infrastructure may find this a barrier. Second, the system must be adaptable to evolving imaging conditions and variations in pneumothorax presentation. This necessitates periodic retraining of the models with updated datasets to ensure ongoing effectiveness. Additionally, the integration of sensitive patient data into the system raises concerns regarding data privacy and security. Compliance with medical data protection regulations, such as HIPAA and GDPR, is crucial to ensure patient confidentiality and safeguard against potential security breaches.

D. Advantages and Limitations

The proposed pneumothorax detection system offers several advantages, including high accuracy, scalability, and the ability to handle complex, high-resolution medical imaging data. The use of Mask R-CNN and Attention U-Net ensures that the system can effectively segment complex pneumothorax cases, significantly enhancing diagnostic capabilities. Moreover, the system's ability to provide real-time detection through a web-based interface makes it accessible to medical professionals, enabling quick and informed clinical decision-making. However, there are some limitations. The computational demands of deep learning models like Mask R-CNN and Attention U-Net could present a challenge for real-time deployment in resource-constrained environments, particularly in smaller healthcare facilities. Additionally, while these models are highly effective at detecting common pneumothorax cases, they may face challenges in detecting new or rare forms of pneumothorax that do not align with previously observed patterns in the training data.

E. Future Work

Future research will focus on improving the explainability of the pneumothorax detection system by incorporating model-agnostic techniques such as SHAP (Shapley Additive Explanations) and LIME (Local Interpretable Model-Agnostic Explanations). These methods will enable radiologists and healthcare professionals to better understand the reasoning behind the model's predictions, increasing trust and confidence in the system. Furthermore, exploring hybrid models that combine Mask R-CNN and Attention U-Net with advanced techniques such as Recurrent Neural Networks (RNNs) or Transformer-based models could enhance the system's ability to analyze temporal changes in pneumothorax progression and improve robustness. Integrating the system with real-time medical imaging platforms and telemedicine solutions could also provide continuous monitoring and alerts for remote consultations. Additionally, optimizing the models to run efficiently on lower-resource devices, such as mobile platforms or edge computing systems, will be essential for ensuring the system's scalability and accessibility, especially in underserved healthcare settings with limited computing infrastructure.

V. CONCLUSION

The automated pneumothorax detection system presented in this study demonstrates the significant potential of deep learning techniques in enhancing the accuracy and efficiency of medical image analysis. By leveraging advanced models like Mask R-CNN and Attention U-Net, the system achieves high accuracy in segmenting pneumothorax regions, even in challenging cases such as subtle or partial lung collapses. The deep learning-based approach overcomes the limitations of traditional methods, offering a more robust and scalable solution for real-time pneumothorax detection in clinical environments.

One of the key advantages of this system is its ability to process large datasets of medical images efficiently and accurately,

minimizing human error and inter-observer variability. The high precision and recall rates of Mask R-CNN and Attention U-Net suggest that these models are well-suited to detect complex and rare pneumothorax cases, providing healthcare professionals with reliable and timely diagnostic support. The system's real-time processing capability through a web-based interface further enhances its practicality in emergency settings, enabling quick decision-making in critical care scenarios.

However, despite the promising results, the deployment of this system in real-world healthcare settings presents several challenges. The computational demands of deep learning models, such as Mask R-CNN, may hinder real-time performance in environments with limited access to high-performance computing resources. Additionally, the system must continuously adapt to evolving imaging conditions and new pneumothorax patterns, necessitating regular updates and retraining to maintain its effectiveness. Data privacy and security concerns must also be addressed to comply with healthcare regulations such as HIPAA and GDPR.

Looking ahead, the future of this pneumothorax detection system lies in improving its explainability and interpretability. Integrating model-agnostic techniques like SHAP and LIME can offer transparency into the model's decision-making process, increasing trust and facilitating its adoption by radiologists and healthcare professionals. Furthermore, the development of hybrid models combining deep learning techniques with temporal analysis, such as Recurrent Neural Networks (RNNs), could enhance the system's capability to monitor disease progression over time.

In conclusion, this project marks a significant step towards automating the detection of pneumothorax using deep learning, with the potential to transform clinical workflows and improve patient outcomes. By integrating advanced machine learning models with real-time deployment capabilities, this system offers a scalable, efficient, and reliable solution for pneumothorax diagnosis in both developed and resource-constrained healthcare settings. Further advancements in model explainability and real-time integration will only strengthen its role in modern medical diagnostics.

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